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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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03/05/2010

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP

901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

EXAMINER

ABU ALI, SHUANGYI

ART UNIT PAPER NUMBER

1793

DATE MAILED: 03/05/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/511,203	08/22/2005	Christopher Nutbeem	07812.0050-00	8623

TITLE OF INVENTION: PAPER COATING PIGMENTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	06/07/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 22852 7590 03/05/2010 Certificate of Mailing or Transmission FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNERhereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/511,203 08/22/2005 Christopher Nutbeem 07812.0050-00 8623 TITLE OF INVENTION: PAPER COATING PIGMENTS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 06/07/2010 **EXAMINER** ART UNIT CLASS-SUBCLASS ABU ALI, SHUANGYI 1793 106-486000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/511,203	08/22/2005	Christopher Nutbeem	07812.0050-00	8623	
22852 75	22852 7590 03/05/2010		EXAMINER		
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP			ABU ALI, SHUANGYI		
			ART UNIT	PAPER NUMBER	
	901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413				
WASIIINGTON, I	AC 20001-4413		DATE MAILED: 03/05/2010		

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 359 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 359 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)		
	10/511,203	NUTBEEM ET AL.		
Notice of Allowability	Examiner	Art Unit		
		1700		
	SHUANGYI ABU ALI	1793		
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this ap or other appropriate communication IGHTS. This application is subject to	plication. If not included not will be mailed in due course. THIS		
1. This communication is responsive to <u>12/07/2009</u> .				
2. The allowed claim(s) is/are <u>15-69</u> .				
3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the:				
<ol> <li>Certified copies of the priority documents have</li> </ol>	e been received.			
<ol><li>Certified copies of the priority documents have</li></ol>	• • • • • • • • • • • • • • • • • • • •			
<ol><li>Copies of the certified copies of the priority do</li></ol>	cuments have been received in this	national stage application from the		
International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements		
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give				
5. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.				
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached				
1) hereto or 2) to Paper No./Mail Date				
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of				
Paper No./Mail Date				
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).				
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT				
Attachment(s)	E   Notice of Informal F	Octont Application		
1. Notice of References Cited (PTO-892)	5. Notice of Informal F			
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary Paper No./Mail Da	te .		
3. Information Disclosure Statements (PTO/SB/08),	7. ⊠ Examiner's Amendr	ment/Comment		
Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit	8. ⊠ Examiner's Stateme	ent of Reasons for Allowance		
of Biological Material	9.			
/Shuangyi Abu-Ali/				
Examiner, Art Unit 1793				

#### **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Christopher Kent on 02/04/2010.

The application has been amended as follows:

- 15. (Currently Amended) A pigment composition comprising:
- (a) a precipitated calcium carbonate comprising-a mixture\_of aragonitic particle shapes and rhombohedral particle shapes in a weight ratio ranging from 40:60 to 60:40 based on aragonitic: rhombohedral, and
- (b) a kaolin clay with a shape factor greater than 25 and a steepness greater than or equal to about 20.
- 61. (Currently Amended) A coating composition for paper and other substrates, the composition comprising an aqueous suspension of a particulate pigment according to claim 15 and a binder. wherein the particulate pigment comprises: (a) a precipitated calcium carbonate comprising particle shapes chosen from predominantly aragonitic, predominantly rhombohedral, and mixtures thereof, and (b) a kaolin clay with a shape factor greater than or equal to about 25 and a steepness greater than or equal to about 20.

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65. (Currently Amended) A method for preparing a coating composition comprising an aqueous suspension of a particulate pigment according to claim 15 and a binder wherein the particulate pigment comprises: a precipitated calcium carbonate comprising particle shapes chosen from predominantly aragonitic, predominantly rhombohedral, and mixtures thereof, and a kaolin clay with a shape factor greater than or equal to about 25 and a steepness greater than or equal to about 20, comprising: mixing the particulate pigment and the binder into an aqueous liquid medium to prepare a suspension of the solid components therein.

- 66. (Currently Amended) A method for preparing a coated gloss paper comprising: applying to the paper a composition comprising an aqueous suspension of a particulate pigment according to claim 15 and a binder, wherein the particulate pigment comprises: a precipitated calcium carbonate comprising particle shapes chosen from predominantly aragonitic, predominantly rhombohedral, and mixtures thereof, and a kaolin clay with a shape factor greater than or equal to about 25 and a steepness greater than or equal to about 20 to coat the paper, and calendering the paper to form a gloss coating thereon.
- 67. (Currently Amended) A paper coated with a gloss coating comprising a dry residue of a composition comprising an aqueous suspension of a particulate pigment according to claim 15 and a binder, wherein the particulate pigment comprises: a precipitated calcium carbonate comprising particle shapes chosen from predominantly aragonitic, predominantly rhombohedral, and mixtures thereof, and a kaolin clay with a shape

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factor greater than or equal to about 25 and a steepness greater than or equal to about 20.

70. (Cancelled)

## Allowable Subject Matter

Claims 15-60 are allowed.

Claims 15-60 are allowable. Claims 61-69, previously withdrawn from consideration as a result of a restriction requirement, require all the limitations of an allowable claim. Pursuant to the procedures set forth in MPEP § 821.04(a), the restriction requirement among inventions I-V, as set forth in the Office action mailed on 01/17/2008, is hereby withdrawn and claims 61-69 hereby rejoined and fully examined for patentability under 37 CFR 1.104. In view of the withdrawal of the restriction requirement, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

The following is an examiner's statement of reasons for allowance: The instant application discloses a composition comprising a precipitated calcium carbonate, which is a mixture of aragonitic particle shapes and rhombohedral particle shapes in a weight ratio ranging from 40:60 to 60:40 aragonitic:rhombohedral and a kaolin clay with a

shape factor greater than 25 and a steepness greater than or equal to 20. The closest art is combined teaching of U. S. Patent No. 6,758, 895 to Wesley, and U.S. Patent No. 5, 833, 747 to Bleakly et al, which discloses a composition comprising PCC and kaolin. But they fail to disclose the ratio of the aragonitic to rhombohedral as applicant set forth in claim 15.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SHUANGYI ABU ALI whose telephone number is (571)272-6453. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jerry Lorengo can be reached on 571-272-1233. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/J.A. LORENGO/ Supervisory Patent Examiner, Art Unit 1793

/Shuangyi Abu-Ali/ Examiner, Art Unit 1793